



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

| | | | |
|---|---|---|----------------|
| Attorney Docket No. | SPROQ1100 | C/M # | 2102121-165137 |
| First Inventor or Application Identifier: | P. Mansour et al. | | |
| Title: | Platform-Independent Distributed User Interface System Architecture | | |
| Express Mail Label No.: | EL233951698US | | |
| Application Elements (See MPEP chapter 600 concerning utility patent application contents) | | ADDRESS TO: Assistant Commissioner For Patents BOX PATENT APPLICATION Washington, D.C. 20231 | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, & duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>70</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none">• Descriptive title of the Invention• Cross References to Related Applications• Statement Regarding Fed sponsored R&D• Background of the Invention• Brief Summary of the Invention• Brief Description of the Drawings (if filed)• Detailed Description• Claim(s)• Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u>21</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment (____ pgs.)</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Express Mail Certification</p> <p>17. <input type="checkbox"/> Request And Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PCT/SB/35 or its equivalent</p> <p>18. <input checked="" type="checkbox"/> OTHER: Check # <u>468121</u> (\$ <u>871.00</u>)</p> | |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part of prior application no.: ____/____ Prior application information: Examiner: _____ Group/Art Unit: _____ | | | |
| 18. CORRESPONDENCE ADDRESS | | | |
| <input checked="" type="checkbox"/> Customer Number (25548) Or Bar Code Label OR <input type="checkbox"/> Correspondence Address Below | |  25548 PATENT TRADEMARK OFFICE | |
| NAME | | ATTN: Terrance A. Meador GRAY CARY WARE & FREIDENRICH | |
| ADDRESS | | 401 B Street, Suite 1700 San Diego, California 92101 USA | |
| Telephone: 619/699-2652 | | General Fax No.: 619-236-1048 Patent Group Fax No.: 619/699-3452 | |
| Name (print/type) | | Registration No.: 38,631 (Attorney/Agent) | |
| Mark M. Takahashi | | | |
| Signature | | Date | |
|  | | FEBRUARY 14, 2001 | |

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FEE TRANSMITTAL

| | |
|-----------------------|-------------------|
| Attorney Docket No. | SPROQ1100 |
| First Named Inventor: | P. Mansour et al. |
| Application Number | To be assigned |
| Filing Date: | Herewith |
| Examiner Name: | Unknown |
| Group/Art Unit: | Unknown |

| | |
|--------------------------------------|---|
| TOTAL AMOUNT OF PAYMENT: | \$ 871.00 |
| METHOD OF PAYMENT (check One) | <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:</p> <p>Deposit Account No.: 07-1895 Deposit Account Name: GRAY CARY WARE & FREIDENRICH</p> <p><input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> |


2. UTILITY Basic Filing Fee & Claims

| (1) Fee | (2) No. filed | (3) No. extra | (4) Large Entity | (5) Small Entity | (6) Calculations |
|---|---------------|---------------|------------------|------------------|------------------|
| Basic Filing Fee | XX | XX | \$ 710.00 | \$355.00 | \$ 355.00 |
| Total Claims | 64 - 20 = | 44 | X \$ 18.00 | X \$ 9.00 | \$ 396.00 |
| Independent Claims | 6 - 3 = | 3 | X \$ 80.00 | X \$ 40.00 | \$ 120.00 |
| Multiple Dependent Claim(s) (if applicable) | | | \$ 270.00 | \$135.00 | \$ 000.00 |
| Total of above Calculations = | | | | | \$ 871.00 |

| Basic Filing Fee | Large Entity | Small Entity | Total |
|-------------------------------|--------------|--------------|-----------|
| Design filing fee | \$ 320.00 | \$ 160.00 | \$ 000.00 |
| Reissue filing fee | \$ 710.00 | \$ 355.00 | \$ 0.00 |
| Provisional filing fee | \$ 150.00 | \$ 75.00 | \$ 0.00 |
| Total of above Calculations = | | | \$ |

3. ADDITIONAL FEES

| Fee Description | Large Entity | Small Entity | Other |
|-----------------|--------------|--------------|-------|
| | \$ | \$ | \$ |
| | \$ | \$ | \$ |
| | \$ | \$ | \$ |
| | \$ | \$ | \$ |
| TOTAL: | | | \$ |

| | | | |
|-------------------|---|---------------------------------------|-------------------|
| Name (print/type) | Mark M. Takahashi | Registration No.: (Attorney/Agent) | 38,631 |
| Signature |  | Date | FEBRUARY 14, 2001 |